

Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a))

[X] Pursuant to 37 C.F.R. § 1.8(a), I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231

[] Pursuant to 37 C.F.R. § 1.6(d), I hereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of Examiner _____ at Facsimile No. _____ at _____ a.m./p.m.

Dated: May 23, 2002

Name of Person Certifying: Bernice E. Worley

Printed Name: Bernice E. Worley

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Zandi, et al.
Filing Date: February 19, 2002
Serial No.: 10/079,949

Assignee: University of Southern California
Examiner: Not assigned
Group Art Unit: 1645

Title: Composition and Method for Reconstituting IκB Kinase in Yeast and Methods of Using Same

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Amended Submission of Missing Parts of Application Under 37 C.F.R. 1.53

Sir:

In response to the Notice of Missing Parts of Application mailed on April 1, 2002, enclosed are the following items for filing in the above-referenced U.S. Patent Application:

- ☒ Declaration and Power of Attorney [3] page(s) – [copy attached hereto – original was submitted with response mailed May 21, 2002]

Payment for surcharge for late filing ☒ \$65.00 *small entity* ☐ \$130.00 *large entity*

- ☒ Applicant(s) claim Small Entity Status under 37 CFR § 1.27.

- ☒ If not previously charged pursuant to the response mailed May 21, 2002, the Commissioner is hereby authorized to charge payment of the following fees to Deposit Account No. 50-1192, in reference to Docket No. 13761-7064:

Statutory Basic Filing Fee (Small Entity)	\$370.00
Additional claim fee (for 20 total claims over 20 at \$9.00 each)	\$180.00
3 additional independent claims in excess of 3 at \$42.00 each	\$126.00
Multiple Dependent Claims	<u>\$140.00</u>

A duplicate copy of this sheet is enclosed.

TOTAL: \$816.00

- ☒ Copy of Notice to File Missing Parts of Nonprovisional Application dated April 1, 2002 [previously omitted from response mailed May 21, 2002].

- ☒ Return Postcard.

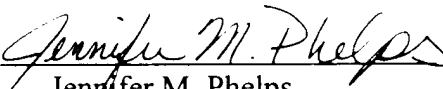
TOTAL FEES: \$881.00

- ☒ Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this communication have been considered.
- ☒ If not previously charged pursuant to the response mailed May 21, 2002, please charge the above fee(s) to Deposit Account 50-1192, Docket No.: 13761-7064 in the total amount of \$881.00 to cover the above fees. *A duplicate copy of this sheet is attached.*
- ☒ The Commissioner is hereby authorized to charge payment of any additional fees due or credit any overpayment to Deposit Account No. 50-1192, Docket No. 13761-7064. *A duplicate copy of this sheet is enclosed.*

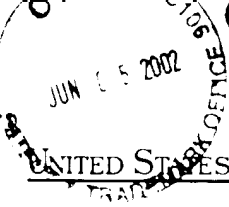
Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

Date: May 22³, 2002

Respectfully submitted,

By: 
Jennifer M. Phelps
Registration No. 48,656

McCutchen, Doyle, Brown & Enersen, LLP
Three Embarcadero Center, 18th Floor
San Francisco, California 94111
Telephone: (213) 680-6459
Fax: (213) 680-6499



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20230
WWW.USPTO.GOV

APPLICATION NUMBER	INVENTOR NAME	INVENTOR ADDRESS	ATTORNEY REFERENCE NUMBER
10-170,940	2002-01-02	Phelps, Zandi	13761-7064

CONFIRMATION NO. 6542

FORMALITIES LETTER



OC000000007757271

Jennifer M. Phelps
McCutchen, Doyle, Brown & Enersen, LLP
18th Floor
Three Embarcadero Center
San Francisco, CA 94111

Date Mailed: 04/01/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$444.
 - \$360 for 20 total claims over 20.
 - \$84 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1314.**

*A copy of this notice **MUST** be returned with the reply.*

J. Robinson

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE